



# Todd Rokita

*Indiana Secretary of State*

## The Indiana State Plan to Implement the Help America Vote Act of 2002

*A Blueprint for Indiana Elections*



"With this blueprint for Indiana's elections we have set in motion the most comprehensive voting reforms in our state since the Voting Rights Act of 1965. With state of the art voting systems, a statewide voter registration system, and creative solutions like provisional balloting, Indiana will be well positioned to administer all elections as fairly and efficiently as possible to preserve the rights of all Hoosiers and guarantee that every vote counts."

*Todd Rokita*

Dear Indiana citizens:

The Help America Vote Act of 2002 (HAVA) is the most significant federal voting reform measure since the Voting Rights Act of 1965. In Indiana, we have already been working over the last year and a half on many of the reforms now required by HAVA.

In February of 2003, I convened the Vote Indiana Team, a diverse group of 28 Hoosiers, to help create the blueprint for our elections for the next five years and beyond. The Vote Indiana Team members come from across the state and represent three political parties, the state legislature, minority groups, military voters, people with disabilities, county election and voter registration officials, and the media.

The Vote Indiana Team met over a four-month period as a full group and in smaller working groups to address specific issues and draft a comprehensive election reform plan for Indiana that implemented the requirements of HAVA. After four meetings of the Vote Indiana Team as a whole and twenty-four singularly focused sub-group meetings, the Vote Indiana Team Preliminary State Plan is now available to you and all Hoosiers for public comment.

As voters, the changes you'll see at the polls over the next few years will be significant. Indiana will be replacing punch card and lever machine voting system still in use in 34 counties by more than half of Hoosier voters. An accessible voting machine will be placed in every voting location in Indiana. The creation of a statewide voter registration database will allow election officials in every county to communicate with each other, as well as with officials from the Indiana Bureau of Motor Vehicles and the Departments of Health and Correction. The statewide voter registration file will ensure that every voter is registered at the proper location and only the proper location.

These improvements, along with advances in technology, will help ensure the voting rights of all Hoosiers are protected and will position Indiana as the nationwide model for election success and reliability.

None of these changes would be possible without the cooperation, coordination and continued hard work of Indiana's county clerks, election board members, and voter registration officials. I also want to thank the Vote Indiana Team for their generous time and effort in helping draft this plan.

I look forward to continuing to serve you as Indiana's chief election official and as Secretary of State. I am committed to making sure that Indiana's elections are efficient, accurate and fair.

Yours truly,

A handwritten signature in black ink that reads "Todd Rokita". The signature is fluid and cursive, with the first name "Todd" and last name "Rokita" clearly distinguishable.

Todd Rokita  
Indiana Secretary of State

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# **Executive Summary**

Indiana has a population of 6,100,000 (2002 estimate), with a voting age population of 4,448,000 (2000 estimate used for purposes of HAVA). Of this population, 4,008,636 were registered to vote as of the November 2002 general election.

## **Election Administration in Indiana**

The Secretary of State serves as Indiana's chief election officer. The Indiana Election Division (IED) is established within the Office of the Secretary of State. The Governor appoints the IED's two co-directors from lists of two or more persons submitted by the state's Democratic and Republican parties. The IED assists the Secretary of State and the Indiana Election Commission (IEC) with the administration of elections. Indiana's local government includes election administration and voter registration offices in each of the state's 92 counties. Each county is divided into election precincts, with a total of 5,602 precincts in Indiana. All 92 counties have a circuit court clerk elected by the voters and a county election board, which includes the circuit court clerk, to administer local elections.

In 2000, the Bipartisan Task Force on Election Integrity was convened. The Task Force was charged with examining the election process in Indiana to ensure that elections were accurate, accessible and secure. After months of study, this bipartisan group reached consensus on several improvements to the election process; the work of the task force took the form of recommendations to the Indiana General Assembly. Many of these recommendations were adopted, including provisional balloting, the establishment of a statewide voter registration system, and the phase out of punch card voting systems. Some of these initiatives were sidelined when appropriations were cut.

With the enactment of the Help America Vote Act of 2002 (HAVA) and the promise of federal funding to implement its requirements, Indiana's election reform efforts were revitalized. Secretary of State Todd Rokita convened the Vote Indiana Team in February 2003 to move forward with Indiana's election reforms. The Vote Indiana Team consists of 28 Hoosier voters representing a wide variety of ethnic, geographic and tri-partisan political backgrounds. Members met as a whole and in subgroups to address particular subject areas. At all times, meetings were open to the public and time was set aside for public comment. Furthermore, a 30-day public comment period has been scheduled to begin on June 3, 2003 and run through July 3, 2003, in accordance with HAVA requirements. The Preliminary State Plan, all meeting notes, and other Team information can be found at [www.sos.IN.gov](http://www.sos.IN.gov), under "Vote Indiana Team Information." Please see Section 13 of the Preliminary State Plan to review simple procedures for registering public comment.

HAVA requires the submission of a state plan detailing how HAVA requirements will be met and how HAVA monies will be utilized. This Executive Summary outlines the major initiatives of the Team and the plan for distributing the associated funding.

## **Voting Systems**

A new Quantity Purchase Agreement (QPA) will be issued. All Indiana certified voting system vendors will be eligible to enter into the QPA. HAVA requires each county to acquire one voting system with accessible equipment per polling place. Punch card and lever machines will be replaced. Funding will be distributed as a reimbursement to counties for the costs incurred in purchasing a voting system. Eligibility for reimbursement will be determined after review of an application to the Indiana Election Division, certification of polling place accessibility, and cooperation with a local advisory council to choose accessible polling places. The current funding formula described in the Plan is based on estimates of funding outlined in HAVA. The formula could change as the result of actual funding appropriated by Congress over the next several years. Please refer to Sections 1 (A) and 6 (A) of the preliminary state plan for further information.

## **Statewide Voter Registration System**

A consultant and statewide steering committee will assist the Secretary of State and Indiana Election Division in the development and implementation of a statewide voter registration system. A Request For Proposal (RFP) will be issued for the selection of a vendor to create the system. The steering committee will help guide the creation and implementation of the system. The committee will be comprised of Team members and representatives from the clerks' and voter registration officials' associations. The system will also interact with computer systems of the Bureau of Motor Vehicles, Indiana State Department of Health and Department of Correction. Please refer to Sections 1 (B), Section 6 (B), and Section 8 (1) of the preliminary plan for further information.

## **Training and Education**

Training will be geared toward election officials and pollworkers. Voter educational opportunities will also be created. Please see Section 1 (F), Section 3, Section 6 (C), Section 8 (2 a,b,c), and Section 10 of the preliminary state plan for further information.

## **Statewide Grievance Procedure**

A statewide grievance procedure will be established to handle complaints that may involve voting system and polling place accessibility, allegations of fraud, and other voting or registration processes. Please see Section 1 (E), Section 6 (E), Section 8 (3), and Section 9 of the preliminary state plan for further information.

## **Provisional Balloting**

Provisional balloting will be available as a safety net for the voter who may have erroneously been removed from the voter list and to guard against fraudulent voting practices. A free access system will be available for a provisional voter to check the

status of the provisional ballot (e.g. find out whether the ballot was counted). Please see Section 1 (D), Section 6 (D), and Section 8 (4) of the preliminary state plan for further information.

### **Accessibility**

A comprehensive polling place accessibility study will be undertaken to guide local jurisdictions in making improvements. The Secretary of State will apply for funding to help counties improve the accessibility of particular polling places. A voter will be able to cast a ballot privately and independently. Please see Section 4, Section 6 (HHS grants and F), Section 8 (5), and Section 10 of the preliminary state plan for further information.

In summary, the efforts of the Vote Indiana Team and the dedication of Indiana's election officials will produce positive changes and set the course for Indiana's election reform efforts for years to come.

# **Indiana Preliminary State Plan Introduction**

## **What is HAVA?**

In 2002 Congress passed the Help America Vote of 2002 which President George W. Bush signed into law on October 29, 2002. The Help America Vote Act embraces the goals of election reform by expecting all levels of government to provide a democratic process that does the following:

- maintains an accurate list of citizens who are qualified to vote;
- encourages every eligible voter to participate effectively;
- uses equipment that reliably clarifies and registers the voter's choice;
- conducts elections in a foreseeable and fair way;
- operates with equal effectiveness for every citizen and every community; and
- reflects limited but responsible federal participation.

## **What are the State's responsibilities under HAVA and purpose of a state plan?**

States who want to receive HAVA requirements funding must submit a self certified state plan outlining specific provisions set forth in the following section. The Secretary of State as Indiana's chief election officer shall develop the plan through a committee (Vote Indiana Team) consisting of chief election officials from Indiana's two most populous counties, other local election officials, key stakeholders (including members of the community with disabilities), and other citizens. The preliminary state plan must be available for public inspection and comment for thirty (30) days before submission to the Election Assistance Commission. The Vote Indiana Team shall take into account the public comments before submitting the final plan.

## **How is this document organized?**

The preliminary state plan's overview is contained in the Executive Summary. The Executive Summary briefly describes major initiatives contained in the preliminary state plan and refers to the appropriate section in the plan document for further information. HAVA requires the state plan to address the thirteen (13) provisions listed below. A Glossary of Terms and Acronyms is included to define or reference frequently used terminology or acronyms. An Appendix, Indiana Election Reform History, describes Indiana's election reform efforts beginning prior to statehood through today. A Table of Contents is also attached to guide the reader through this document.

- How Indiana will use these federal funds to comply with HAVA's requirements concerning voting systems, the statewide voter registration system, and provisional voting.
- How Indiana will distribute (and monitor the distribution of) federal funds to local governments and other fund recipients, including the criteria to determine eligibility and to monitor performance.
- How Indiana will provide voter education, election official, and poll worker training programs.

- How Indiana will adopt voting system standards consistent with HAVA.
- How Indiana will establish a fund for administering these federal payments and how the Indiana fund will be managed.
- Indiana's proposed budget to carry out the activities required to receive these federal funds.
- How Indiana, in using these federal funds, will maintain a level of state expenditures at least equal to Indiana's expenditures for these activities during the July 1, 1999 – June 30, 2000 fiscal year.
- How Indiana will adopt performance measures to determine the success of state and local government in carrying out the plan, including timetables, a description of the criteria to measure performance, and which official is responsible for meeting the requirements.
- A description of the required "uniform nondiscriminatory State grievance procedure" for HAVA-related complaints.
- If Indiana received additional federal money from another source, how this money will be used to carry out activities under the State Plan.
- How Indiana will conduct ongoing management of the HAVA State Plan.
- How the State Plan reflects changes from the State Plan for previous fiscal years.
- A description of the State Plan Committee and the procedures used by the Committee to develop the Plan.

### **How do I participate in the Public Comment process?**

Section 13 of the preliminary state plan describes in detail the make up of the Vote Indiana Team and its work to date. Further information about the work of the Team may be found at [www.sos.IN.gov](http://www.sos.IN.gov). Public comment may be submitted to the chair of the Vote Indiana Team in writing to the following address: Todd Rokita, Indiana Secretary of State, 200 West Washington Street, Room 201, Indianapolis, Indiana 46204, or may be emailed to [VoteIndianaTeam@sos.state.in.us](mailto:VoteIndianaTeam@sos.state.in.us). Public comment on the preliminary state plan may also be left at 317-234-VOTE or by contacting the Indiana Election Division toll free in Indiana at 800-622-4941(TDD). Comments may also be faxed to 317-233-3283.

**This Plan is available in accessible formats upon request. Please call 234-VOTE or email [VoteIndianaTeam@sos.state.in.us](mailto:VoteIndianaTeam@sos.state.in.us) for further information.**



# GLOSSARY OF TERMS AND ACRONYMS

**“Certification of accessibility of polling place”** Part of the application process by a county to receive state money to reimburse the county for purchasing voting equipment. The certification will state that the polling places selected by the county permit voters with disabilities to cast their ballots in the polling place with the same access and privacy provided to other voters.

**“Free access system”** A toll-free telephone number, an Internet web site, or other method that permits a voter who cast a provisional ballot to learn whether or not the voter’s ballot was counted, and if not, the reasons why the provisional ballot was not counted.

**“HAVA”** The Help America Vote Act of 2002 (Public Law 107-252). A federal law passed by Congress and signed by President Bush on October 29, 2002. Each state will be passing its own laws as needed to implement HAVA in that state.

**“Maintenance of Effort”** A requirement under the HAVA law that when a state uses “Title III requirement monies,” the state pledges to keep spending in the future the same amount of money that the state had spent during 1999 and 2000 for the programs for which the state is using the “Title III requirement monies.”

**“Poll worker”** Paid position at polling place on Election Day to assist in operating the election.

**“Provisional Ballot”** A ballot cast by an individual when it is not clear whether the individual is entitled to vote in a precinct. The provisional ballot is kept separate from the other ballots cast by voters in the precinct. After election day, the county election board decides whether the individual is entitled to vote and whether the individual’s provisional ballot should be counted or rejected.

**“Provisional Ballot Status”** The decision made by the county election board whether to count a provisional ballot, and if not, the reasons for rejecting the ballot.

**“Off election year”** The year in each four year election cycle in Indiana in which no regularly scheduled elections are held at either the state or local level. The off-election year occurred in 1997 and 2001 and will occur in 2005.

**“Qualifying precinct”** Defined in HAVA as a precinct where a punch card or lever machine voting system was used in the November 2000 election

**“Section 101 monies”** Money made available from the federal government to state governments under HAVA to improve the manner in which elections are administered in the state.

**“Section 102 monies”** Money made available from the federal government to state governments under HAVA to replace lever voting machines or punch card voting

systems with newer types of voting systems. This money can also be used to reimburse local governments who have already purchased replacement voting systems since 2000.

**“Title III requirement monies”** Money made available from the federal government to state governments under HAVA to help state and local governments comply with requirements imposed under the HAVA law. These requirements include a statewide voter registration system, making voting equipment upgrades, and beginning voting by provisional ballot.

**“Video streaming”** Video available on the internet.

### **Acronyms**

**“ADA”** – Americans with Disabilities Act

**“BMV”**- Bureau of Motor Vehicles

**“CLE”**- continuing legal education

**“DOC”** – Department of Correction

**“DRE”**- direct recording electronic voting system

**“IED”**- Indiana Election Division

**“TVRA”**- Indiana Voter Registration Association

**“GPCPD”**- Governor’s Planning Council for People with Disabilities

**“HHS”**- Health and Human Services

**“NCAS”**- cross between a Public Service Announcement and a paid advertisement

**“QPA”**- Quantity Purchase Agreement

**“RFP”**- Request for Proposal

**“SVF”**- Statewide Voter Registration System

# Indiana Preliminary State Plan

**This Plan is available in accessible formats upon request. Please call 234-VOTE or email [VoteIndianaTeam@sos.state.in.us](mailto:VoteIndianaTeam@sos.state.in.us) for further information.**

## Section 1

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(1) How the state will use the requirements payment to meet the requirements of Title III, and, if applicable under section 251 (a)(2), to carry out other activities to improve the administration of elections.**

Congress passed the Help America Vote Act of 2002 (HAVA) to provide election reform across the nation and bring uniformity to state elections. Title III of HAVA requires Indiana to do the following:

- Provide accessible machines in every polling place.
- Adopt uniform and nondiscriminatory standards that define what constitutes a vote.
- Provide voting systems that meet enhanced standards, including allowing the voter to verify the vote before the ballot is cast, permitting the voter to change or correct the ballot before it is cast, and notifying a voter of an overvote or establishing a voter education program specific to that voting system that notifies voters of the effects of overvoting.
- Allow individuals to cast provisional ballots and provide a free access system to inform a provisional voter whether the vote was counted, and if not counted, the reason why.
- Implement in a uniform and nondiscriminatory manner a single, interactive, computerized statewide voter registration system that contains the name and registration information of every legally registered voter in the State and assigns a unique identifier to each.
- Require certain first time “mail in” registrants to provide identification.
- Implement a uniform and nondiscriminatory HAVA grievance procedure.

Prior to the passage of HAVA, Indiana had already laid the groundwork for election reform in the state. Public Law 209-2003 (SEA 268), Public Law 116-2003 (SEA 477) and Public Law 224-2003 (HEA 1001) provide for the implementation of HAVA in Indiana. With the state’s initial framework and the passage of recent legislation, Indiana is on track to comply with all of the provisions of HAVA.

One example of Indiana’s work to lead the nation in election reform is the Indiana Voter’s Bill of Rights. Working from language prepared by the Secretary of State’s Election Division, the Indiana Election Commission unanimously approved the text of the Voter’s Bill of Rights in March 2003. It is a plain language document about accessibility and accountability; accessibility for legally registered voters and accountability for those who would act to defraud election administrators and in turn

other voters. Posters of the Voter's Bill of Rights were printed in both English and Spanish. The Secretary of State's office provided Voter's Bill of Rights posters to every county to be displayed in polling places on Primary Election Day, May 6, 2003 and intends to keep the Voter's Bill of Rights as a permanent fixture in Indiana polling places and posted to the Secretary of State's website [www.sos.IN.gov](http://www.sos.IN.gov).

Currently, it is estimated that Indiana will receive \$40.4 million in Title III funds (Budget estimates set forth in section 6), and the Secretary of State and the Indiana Election Division intend to use the requirements funding for the following endeavors:

#### **A. Voting Systems**

In 2002, Indiana issued a Quantity Purchase Agreement (QPA) with four voting systems vendors whose optical scan or direct record electronic (DRE) voting systems previously certified by the Indiana Election Commission. Replacement of punch card and lever machines used in November 2000 by more than half of Indiana's voters (in a total of 2983 precincts) is already under way. To help reduce the costs of any particular system, the Indiana Department of Administration (DOA) will issue another QPA to facilitate the replacement of the remaining punch card and lever machines and the implementation of one accessible DRE per polling place. All certified voting system vendors will be eligible to enter into the QPA with DOA. The Team recommends each QPA contain provisions permitting volume discounts for voting system purchases and multi-county purchasing arrangements through intergovernmental agreements or other methods permitted by state law. The team urges that the procurement process for voting systems adhere to minority business enterprises and women owned business enterprises requirements.

No later than the Primary Election of 2006, assuming a waiver is granted, (under HAVA, each state shall replace all punch card voting systems or lever voting systems by the General Election in November 2004 unless a waiver is granted and the State ensures that all punch card voting systems and lever voting systems will be replaced in time for the first election for Federal office held after January 1, 2006) all punch card and lever voting systems will be replaced.

Under the system set up by P.L. 209-2003, funds would be released in the following manner: each county seeking reimbursement applies to the Indiana Election Division, an agency of the executive branch. The Secretary of State and Indiana Election Division personnel review the applications and certifications regarding polling place accessibility. The Secretary of State and Indiana Election Division recommend disbursement of funds to the Budget Committee, a bipartisan body consisting of state legislators and the state budget director. The Budget Committee is statutorily required to review these recommendations. Disbursements will be made to the counties on the approval of the State Budget Agency, an executive branch agency, after review by the Budget Committee and subject to fund availability.

The elimination of punch card and lever machines, along with the implementation of accessible machines, will require the use of Sections 101, 102, Title III, and state matching funds.

## **B. Statewide Voter Registration System**

No later than January 1, 2006, assuming a waiver is granted (under HAVA, each state shall be required to comply with the state wide voter file requirement by January 1, 2004 unless a State certifies to the Commission that the State will not meet the deadline for good cause; the HAVA reference then becomes January 1, 2006), the Indiana statewide voter registration system will be online in all 92 Indiana counties; this will allow the creation and maintenance of a more accurate list of persons legally authorized to vote in Indiana. In addition to using the system for voter registration, Indiana plans to use this single, centrally administered system to assist the Secretary of State in providing all Indiana voters access to a free web-based or phone-based information system that indicates where a voter's polling place is located and confirms a voter's registration record.

A consultant and steering committee, consisting of members of the Team and representatives from the clerks' and voter registration officials' association, will assist in the implementation of the statewide voter registration system. An RFP will be issued for the consultant and the vendor. The team urges that the procurement process for the consultant and the statewide voting registration system adhere to minority business enterprises and women owned business enterprises requirements.

The continuous maintenance of the statewide voter registration system will require a well coordinated interaction between county officials and state officials. Each county voter registration office, the Indiana Election Division, and the Secretary of State will have immediate electronic access to the information contained in the computerized list. The county voter registration office may change only data related to the voters registered in that respective county. Furthermore, the county voter registration office must electronically enter all voter registration information obtained by the county voter registration office into the computerized list on an expedited basis. The county voter registration office shall perform list maintenance with respect to the computerized list on a regular basis. The Indiana Election Division shall coordinate the computerized list with the Indiana Department of Correction records so the county voter registration office can cancel the registration records of disfranchised individuals on an expedited basis. The Indiana Election Division shall also coordinate the computerized list with the Indiana State Department of Health so the county voter registration office can cancel the registration records of deceased individuals on an expedited basis.

The Secretary of State, the Co-Directors of the Indiana Election Division and the Bureau of Motor Vehicles Commission shall enter into an agreement to match information in the computerized list database with information in the database of the Bureau of Motor Vehicles Commission to enable the Indiana Election Division and the commission to verify the accuracy of the information provided on voter registration applications. This link will also serve as the access point for the Indiana Election Division to obtain and verify certain information from the Social Security Administration in accordance with HAVA. Indiana statute defines a unique identifier which will be assigned to each individual by the Indiana Election Division; this will assist in maintaining the accuracy of the statewide voter registration system.

### **C. First-time Mail-in Registrant Requirements**

HAVA requires certain first-time mail-in registrants to provide identification. The type of identification that shall be provided includes the following: (1) a current and valid photo identification, or (2) a current utility bill, bank statement, government document that shows the name and address of the voter.

Exceptions: Voters exempt from these requirements include those entitled to vote by absentee ballot or other than in person under the Uniformed and Overseas Citizens Absentee Voting Act and the Voting Accessibility for the Elderly and Handicapped Act.

Furthermore, preparation will be made to process the additional documentation required by certain first-time mail-in registrants. County voter registration offices will be expected to secure the identification information prior to the 2004 Primary.

### **D. Provisional Balloting**

A free access system will be established upon the completion of the statewide voter registration system to provide a voter information as to whether a provisional ballot was counted; if the ballot was not counted, information as to the reason will be available. In the mean time, county election boards will make this information available to any voter upon inquiry by the voter. The county election boards shall maintain reasonable procedures to protect the security, confidentiality and personal information relating to a provisional voter.

### **E. Statewide Grievance Procedure**

Indiana recently passed legislation to establish a statewide grievance procedure to comply with the HAVA requirement that a state based administrative complaint procedure be in place. Title III funds will be used to establish this process which is explained in detail in section 9 of this plan.

### **F. Training and Education**

The Secretary of State, through the Indiana Election Division, intends to expand upon the current training and educational opportunities for poll workers and voters, which are explained in detail in Section 3 of this plan.

An election official and poll worker certification process will be developed and administered by the IED of the Secretary of State's office to more effectively train local election officials and poll workers; special focus will be on HAVA's requirements of accessible voting systems and polling places, provisional ballots and documentation for first-time mail-in registrants.

## **Section 2**

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(2) How the State will distribute and monitor the distribution of the requirements payment to units of local government or other entities in the State for carrying out the activities described in paragraph (1), including a description of –**

- (a) The criteria to be used to determine the eligibility of such units or entities for receiving the payment; and**
- (b) The methods to be used by the State to monitor the performance of the units or entities to whom the payment is distributed, consistent with the performance goals and measure adopted under paragraph (8)**

The performance measures outlined in Section 8 of this document will be used to gauge participation and effectiveness of distributions. Performance measures will be monitored semi-annually by the Indiana Election Division upon the completion and submission of election reports by the county as required by the law. The Indiana Election Division will provide a report to each Vote Indiana Team member summarizing progress under the performance measures.

### **A. Voting Systems**

The Indiana Department of Administration will issue a new Quantity Purchase Agreement (QPA) for voting system purchases in order to provide counties with the greatest number of options for voting system purchases and greatest amount of information to use in evaluating voting systems. HAVA funds will be placed in the Election Administration Assistance Fund. Funds will be distributed based on availability and by the priorities set by the Vote Indiana Team and any pertinent statutory requirements. All distributions are subject to federal and state audit standards.

Under the system set up by P.L. 209-2003, funds would be released in the following manner: each county seeking reimbursement applies to the Indiana Election Division, an agency of the executive branch. The Secretary of State and Indiana Election Division personnel review the applications and certifications regarding polling place accessibility. The Secretary of State and Indiana Election Division recommend disbursement of funds to the Budget Committee, a bipartisan body consisting of state legislators and the state budget director. The Budget Committee is statutorily required to review these recommendations. Disbursements will be made to the counties on the approval of the State Budget Agency, an executive branch agency, after review by the Budget Committee and subject to fund availability.

Under a memorandum of understanding or grant provision, failure to comply with any portion of Title III may result in the county being liable for all previously disbursed funds to that county from the state fund.

## **B. Statewide Voter Registration System**

The development, conversion, and ongoing maintenance of each county's data in the statewide voter registration system will be defined in a memorandum of understanding. Data will be collected through specialized reports containing information developed by the Indiana Election Division to ensure the county's participation in the overall success of the statewide voter registration system. The continuous maintenance of the statewide voter registration system will require a well coordinated interaction between county officials and state officials.



### Section 3

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(3) How the state will provide programs for voter education, election official education and training, and poll worker training which will assist the State in meeting the requirements of Title III.**

The Indiana Election Division will provide traditional and alternative training tools to local election officials for topics like poll worker training and voter training regarding voting equipment. The Indiana Election Division will enlist active input from and work with disability advocacy groups in designing poll worker training. The Indiana Election Division will provide continuing education annually for local county election boards, clerks of the circuit court, and voter registration officials; sessions will include information about HAVA's requirements.

Frequently scheduled and regionally located training sites will be used for local registration officials so they will be able to use the statewide voter registration system to its fullest potential. The system will also contain an online help query.

The training efforts proposed by this plan are designed to meet three goals.

1. There will be various methods of training available in order to effectively train poll workers so they are aware of voters' rights, sensitive to voters' needs, and proficient in their jobs; special emphasis will be placed on provisional ballots, voters with disabilities and voter identification needs. This training will include the following:

- video streaming of poll worker training (internet access to training videos)
- agreements with local government television stations to air poll worker training
- a master video on poll worker training for use by county election officials
- training for provisional ballot counters
- written materials and web information on Voter's Bill of Rights, provisional ballots and overvoting
- a "teach the teacher" certification program for individuals who provide instruction on voting machine usage
- products that include interaction with voters with disabilities (physical, sensory and cognitive impairments)

2. There will be on going training of full service voter registration agency employees and county election administrators so each understands the needs of voters with disabilities, voters who do not speak English, media, political party officials and campaign workers.

This training will include the following:

- production of written materials and online information
- coordination with local advocacy groups to develop and target delivery of materials
- development of videos (and internet access to these videos)

- production of a video for county commissioners and others charged with selecting polling places

3. There will be efforts to increase voter participation by providing information about the voting process to better educate voters. Included will be information about voting systems, voter rights, accessibility and military/overseas voting. This will include the following:

- development of agreements with local government and public television stations to air voter instructions on use of voting equipment and information about voter rights
- production of a master video on voter education
- arrangement for display of voting equipment in malls and local library systems
- Development/Production of Public Service Announcements
- Production of written materials and web information on Voter's Bill of Rights, provisional ballots and overvoting
- Publication of Military/Overseas voter guide with a focus on absentee balloting process including additional information on military/overseas voting on Indiana Election Division website

The proposed budget set forth in section 6, sets aside \$3.9 million to pay for voter education, election official education and training, and poll worker training. The plan calls for \$1.4 million of the \$3.9 million to be set aside for voter education. The Secretary of State and Indiana Election Division will prepare a training and voter education budget to provide the Vote Indiana Team however, the Team recognizes that significant training and voter education efforts must occur to prepare for the 2004 election and must proceed before the completion of a final budget under this section.

## Section 4

**Section 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:**

**(4) How the state will adopt voting system guidelines and processes which are consistent with the requirements of Title III.**

Indiana Code 3-11-15-13.3 sets forth voting systems guidelines and processes consistent with the Voting Systems Standards set forth in HAVA.

- Under Indiana law, the Indiana Election Commission must approve any model of voting system before it may be used in an election. Indiana law now requires that a voting system shall meet the Voting Standards adopted by the Federal Election Commission on April 30, 2002 in order to be approved by the Commission for use in Indiana.
- Under Indiana law, the commission may not approve a voting system for use in Indiana unless the system meets the specification in the Indiana code; the specifications include ensuring secrecy and, in the case of a direct recording electronic voting system, preventing a voter from voting for the same candidate or for or against the same public question more than once. In cases where an optical scan ballot card system is used with a precinct tabulator, voters are alerted by the system to any overvote and provided with an opportunity to correct any overvote error. Where paper ballots or optical scan ballot card voting systems without precinct tabulators are used or absentee ballots are mailed out, Indiana law now requires a voter education program to inform voters using these systems of the effect of overvoting.
- Current Indiana law establishes uniform and nondiscriminatory standards to define what constitutes a vote on a paper ballot, optical scan voting system and electronic voting system. As referenced in the landmark United States Supreme Court decision in Bush v. Gore, 531 U.S. 98 (2000), Indiana statutes set forth very specific standards for determining what constitutes a vote in each type of voting system that may be used.
- The Indiana Code and election manuals produced by the Indiana Election Division are both very specific on how to accurately count each vote.

New Indiana law also requires that voting systems be accessible for individuals with disabilities, including nonvisual accessibility for the blind and visually impaired, in a manner that provides the same opportunity for access and participation (including privacy and independence) as for other voters. A county satisfies these requirements if the election board provides at least one electronic voting system or other voting system equipped for individuals with disabilities at each polling place. Indiana also passed legislation in 2003 which requires that each voting system (1) produce a permanent paper record with a manual audit capacity for the system and (2) provide the voter with an opportunity to change the ballot or correct any error before the permanent paper record is produced. The paper record produced must be made available as an official record for a recount or contest conducted with respect to any election in which the voting system was used.

The Team recommends the creation of a committee comprised of voters with disabilities to assist in the certification process of voting systems and to evaluate voting systems' accessibility.

## Section 5

***Section 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:***

**(5) How the state will establish a fund described in subsection (b) for purposes of administering the State’s activities under this part, including information on fund management.**

The Indiana Voting Systems Improvements Fund, established by legislation in 2001, has been renamed the Election Administration Assistance Fund (hereinafter “the fund”) under Public Law 209-2003.

The fund will consist of all money allocated to the state by the federal government

- (1) under Section 101 of HAVA (improvements to election administration generally),
- (2) under Section 102 of HAVA (funds used exclusively for replacement of punch card and lever machines),
- (3) under Title II, Subtitle D, Part I of HAVA (funds to meet Title III requirements including funds to bring all voting systems into compliance with HAVA accessibility requirements, statewide voter registration list, provisional balloting, grievance procedure and administration, etc.), and
- (4) under any other program for the improvement of election administration.

The fund will also contain money appropriated to the fund by the Indiana General Assembly.

Within the fund accounts have been established for each source of allocations described above. There are restrictions, based on HAVA requirements, placed on distribution of money from each account.

Under the system set up by P.L. 209-2003, funds would be released in the following manner: each county seeking reimbursement applies to the Indiana Election Division, an agency of the executive branch. The Secretary of State and Indiana Election Division personnel review the applications and certifications regarding polling place accessibility. The Secretary of State and Indiana Election Division recommend disbursement of funds to the Budget Committee, a bipartisan body consisting of state legislators and the state budget director. The Budget Committee is statutorily required to review these recommendations. Disbursements will be made to the counties on the approval of the State Budget Agency, an executive branch agency, after review by the Budget Committee and subject to fund availability.

Indiana’s Budget Committee is a unique entity. The State Budget Committee has five members, with four alternate members who each may have voting privileges in the absence of a member. This liaison committee is comprised of the state budget director, two members of the Senate, one Republican and one Democrat, and two members of the House of Representatives, one Republican and one Democrat. The Committee continues to meet even when the General Assembly is not in session.

## Section 6

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(6) The state's proposed budget for activities under this part, based on the State's best estimates of the costs of such activities and the amount of funds to be made available, including specific information on-**

- (a) the costs of the activities required to be carried out to meet the requirements of Title III;**
- (b) The portion of the requirements payment which will be used to carry out activities to meet such requirements; and**
- (c) The portion of the requirements payment which will be used to carry out other activities.**

### **Budget:**

At the time this plan was drafted, federal appropriations for HAVA were less than the amounts authorized by the legislation. The following table outlines the assumptions regarding federal funding that the State used in creating its budget for HAVA activities. These numbers reflect the following: (1) \$5,000,000 in early payments already received by Indiana as of May 30, 2003; (2) the Section 102 payment per qualified precinct determined by General Services Administration as of May 30, 2003; and (3) estimates from the Federal Funds Information for States Issue Brief, March 5, 2003. The total appropriation for Indiana will not be known until Congress passes the FY 05 budget. Unless full funding is received, Indiana may not be able to initiate HAVA mandates described in this State Plan in the time prescribed. Indiana legislation was passed to allow for the required state match (Public Law 224-2003, SECTION 98).

<b>Federal Fiscal Year</b>	<b>Total Federal funds</b>	<b>Indiana federal funds</b>	<b>5% match</b>
<b>Early Payments</b>	\$325,000,000 (Sec. 101) \$325,000,000 (Sec. 102) (appropriated)	\$14.7 million	N/A
<b>FY 2003</b>	\$810,000,000 (appropriated)	\$17.3 million	\$865,000
<b>FY 2004</b>	\$500,000,000 (President's budget) (\$1 billion authorized)	\$10.5 million	\$525,000
<b>FY 2005</b>	\$600,000,000 (authorized)	\$12.6 million	\$630,000
<b>Total</b>	<b>\$2,560,000,000</b>	<b>\$55.1 million</b>	<b>\$2,020,000</b>

### **Additional Funding: Health and Human Services grant**

HAVA also authorizes the United States Secretary of Health and Human Services (HHS) to administer a grant program to do the following: (1) make polling places, including the path of travel, entrances, exits, and voting areas of each polling place more accessible to individuals with disabilities, including the blind and visually impaired, in a manner that provides the same opportunity for access and participation (including privacy and independence as other voters); and (2) provide individuals with disabilities and other individuals described in (1) with information about the accessibility of polling places, including outreach programs to inform the individuals about the availability of accessible polling places and training election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with disabilities in elections.

The federal omnibus budget bill of 2003 and Indiana's Public Law 209-2003 authorize the state (through the Secretary of State, with the consent of the Indiana Election Division Co-Directors) to apply for grant funds. The funds are to be distributed based on each state's voting age population as a percentage of the national voting age population. HHS estimates that Indiana's share of these funds for 2003 will be slightly more than \$250,000.

The Secretary of State's office plans to apply for these grant funds to be used in accordance with the requirements set forth in the HHS *Federal Register* notice of May 21, 2003. To provide individuals with disabilities with information regarding the accessibility of polling places, the Secretary of State's office plans to conduct a statewide survey utilizing people with disabilities as the survey takers. The Governor's Planning Council for People with Disabilities (GPCPD) will coordinate the survey project and will tabulate the results and provide the information to the counties. GPCPD will also assist local election officials with the formation of local advisory councils consisting of elderly voters, voters with disabilities, and local election officials. The local councils will review the accessibility survey results and make recommendations to the county executive about making accessibility accommodations and/or moving polling places to accessible locations.

The Secretary of State and Indiana Election Division will prepare a budget for use of grant funds received from HHS. The Team estimates up to \$60,000 will be necessary to conduct the survey described above.

In 2003, Indiana passed the following standards for polling place accessibility under Public Law 116-2003:

“For purposes of this chapter, a facility is an accessible facility for elderly voters and voters with disabilities only if the following apply:

- (1) The facility meets the standards for accessibility for elderly voters and voters with disabilities established under 42 U.S.C. 1973ee-1 through 42 U.S.C. 1973ee-6

- (2) All the following are accessible to elderly voters and voters with disabilities in a manner that provides the same opportunity for access and participation (including privacy and independence) as for other voters:
- (A) Parking spaces marked and available to conform with IC 5-16-9
  - (B) The path to the facility that an individual must travel on the property where where the facility is located
  - (C) The entrance of the facility to be used by voters
  - (D) The paths of travel within the facility to the rooms or areas where the voting system is located
  - (E) The rooms or areas in the facility where the voting systems are located.”



**Distribution of Indiana's HAVA funds (This chart is based on the \$57.1 million budget.)**

<p><b>Total money: \$57.1 million</b></p>	<p><u>12 to 19 %</u> on Voter File which equals <b><u>\$7-11 million</u></b> from Sec. 101, Title III money and state matching funds</p>
<p><u>6.1 %</u> on Training and Education which equals <b><u>\$3.9 million</u></b> from Sec. 101 and Title III money</p>	<p><u>3.5 to 10.5%</u> on Strategic Reserve (to meet any HAVA requirements) which equals <b><u>\$2-6 million</u></b> (this range is based on the range for the voter file; if less than \$11 million is used for the voter file, the remaining amount will be transferred to the Strategic Reserve) from Section 101 and Title III money</p>
<p><u>.9%</u> on Administration of Grievance Procedure which equals <b><u>\$500,000</u></b> from Title III money</p>	<p><u>.9%</u> on Administration of HAVA which equals <b><u>\$500,000</u></b></p> <ul style="list-style-type: none"> <li>• This will include administrative costs associated the development and oversight of Title III programs and other administrative costs.</li> </ul> <p>from Sec. 101 money</p>
<p><u>69%</u> on Voting Equipment which equals <b><u>\$39.2 million</u></b></p> <ul style="list-style-type: none"> <li>• Tier A = precincts (2983) that used punch card or lever in 2000</li> <li>• Tier B = all other remaining precincts (2619)</li> </ul> <p>from Sec. 101, 102, Title III and state matching funds</p>	

## **A. Voting Systems**

It will be necessary to use Section 101, Section 102, Title III and state matching fund monies for voting systems reimbursements. Indiana had more than half of its voters using punch card or lever machines in November 2000.

Counties will be divided according to the following levels and definitions:

- Tier A = Qualifying Precincts under HAVA (precincts that used punch card or lever machines in the 2000 general election)
- Tier B = all remaining Indiana precincts

The state will set aside \$39.2 million to reimburse counties in the following manner:

- All counties will be eligible for reimbursement up to \$50,000 for software in Fiscal Year 2003 (FY03).
- Tier A will be reimbursed up to \$8,000 per precinct.
- Tier B will be reimbursed up to \$4000 per precinct.
- Tier A will be reimbursed on a first come, first serve basis of FY 03 funds.
- Remaining precincts (those in Tier A who have not been reimbursed and Tier B) will be reimbursed on a first come, first serve basis of FY 2004 and 2005 funds.

All counties will do the following to secure reimbursement:

- submit an application to the Indiana Election Division,
- provide certification that each polling place meets accessibility requirements under state law on the application, and
- work with a local advisory council to choose accessible polling places.

Under the system set up by P.L. 209-2003, funds would be released in the following manner: each county seeking reimbursement applies to the Indiana Election Division, an agency of the executive branch. The Secretary of State and Indiana Election Division personnel review the applications and certifications regarding polling place accessibility. The Secretary of State and Indiana Election Division recommend disbursement of funds to the Budget Committee, a bipartisan body consisting of state legislators and the state budget director. The Budget Committee is statutorily required to review these recommendations. Disbursements will be made to the counties on the approval of the State Budget Agency, an executive branch agency, after review by the Budget Committee and subject to fund availability.

## **B. Statewide Voter Registration System**

The Secretary of State with consent of the Co-Directors will implement a statewide voter registration system that complies with Title III HAVA requirements. A team of circuit court clerks, voter registration officials from different sized counties, and Statewide Voter File subgroup members will serve in an important advisory role in the selection of a vendor, development of the system, and the conversion of data for the system. The use of an independent consultant disqualified from submitting a response to the Request for

Proposal for the statewide voter registration system will assist in providing necessary guidance from an entity with no financial interest in the final product.

The following will be necessary:

- The Secretary of State, with the consent of the Indiana Election Division, shall develop, maintain and support the system.
- The Indiana Election Division shall develop interaction between the voter registration system and the provisional ballot status application.
- The Indiana Election Division will be responsible for continuous training opportunities on the new system.
- The Request for Proposal (RFP) for statewide voter registration system shall offer poll list printing as an option for counties.
- The county clerks and voter registration officials will continue to be responsible for voter list maintenance, creation and production of poll lists, street file management and jurisdictional boundaries, jury lists, petition verification and specialized reports.

The unique identifier for an individual who has not provided a driver's license number will be the birth date (MMDDYYYY), a hyphen, and then the last four digits of the social security number (MMDDYYYY-XXXX). If the social security number is unavailable, the voter will be assigned another unique identifier by the Indiana Election Division (after December 31, 2005). This unique identifier must be the individual's Bureau of Motor Vehicles identification number, or if the individual does not have a BMV ID card, another unique number assigned by the Indiana Election Division.

The monies that will be set aside for the statewide voter registration system is within the range of \$7 to 11 million. The state will use a portion of the early payments money to pay a consultant who will work with the Information Technology Oversight Commission to begin developing the Request For Proposal for the statewide voter registration system project because this process will begin before the state receives any Title III funding. However, the section 102 money will be reimbursed from Title III money once it is received.

### **C. Training and Education**

The Indiana Election Division is currently responsible for annually providing election official training to county circuit court clerks, incoming county circuit court clerks and county election board members.

Each county's maintenance of effort includes training for the poll inspector and in some instances the poll judge and clerks. Indiana law requires that inspectors and judges be trained. The law also requires that training must include information related to making polling places and voting system accessible to elderly and disabled voters. Therefore, HAVA monies will be utilized to expand this training to include training opportunities for the poll clerks and judges. There will be additional costs associated with training voters and poll workers on new voting equipment and provisional balloting as well as the

printing and posting of the Voter's Bill of Rights. It is expected that many first time poll workers including those from secondary schools and colleges, recruited under a new program authorized by HAVA, will need to be trained.

Opportunities will be sought for coordinating training with other interested parties and advocacy groups. During the annual meetings of the Association of Indiana Counties, Indiana Association of Cities and Towns, and Association of Circuit Court Clerks, sessions will be available regarding local election administration. The Indiana Election Division will develop training aimed at attorneys so they may qualify for continuing legal education credits (CLEs) required by the Indiana Supreme Court. A poll worker certification program will be developed as an incentive and positive reinforcement of the poll workers' efforts.

The state will develop an application process for distribution of training and education funds.

Starting in 2003 and continuing over the next 2 years, IED will conduct additional seminars for clerks and county voter registration officials solely dedicated to new election administration issues and procedures.

Neither the state nor a county has voter education included in their maintenance of effort.

The proposed budget sets aside \$3.9 million for Training and Education.

#### **D. Provisional Ballots**

In the 2001 legislative session, authorization for provisional balloting beginning with the 2004 primary election was provided. However, additional requirements to build a free access system, provide written documentation to provisional voters and protect provisional ballot voters' confidentiality are new under HAVA; these were addressed by additional Indiana legislation enacted in 2003. At the time the document was written, the Secretary of State's office envisions interaction between the systems used for the statewide voter registration system application and a provisional ballot status application. Once the statewide grievance toll-free line is available, the state anticipates using that line for the provisional ballot status notification process.

#### **E. Grievance Procedure**

HAVA requires the establishment of a state based administrative complaint procedure that will remedy grievances concerning Title III which include but is not limited to (1) voting system accessibility, (2) polling place accessibility, (3) any part of the voting process itself, (4) registration process, (5) allegation of fraud. The details of this grievance procedure are explained under Section 9 of this plan.

The proposed budget sets aside \$500,000 for administration of the grievance procedure. A county may apply for grants to assist in the development of the optional county

grievance procedure. The Indiana Election Division in consultation with the Secretary of State will establish guidelines to evaluate applications submitted for this purpose

## **F. Accessibility of Polling Place and Materials**

The Secretary of State's office will form a partnership with the Governor's Planning Council for People with Disabilities (GPCPD) to conduct a statewide polling place accessibility study that will establish a baseline of Indiana's current environment as it relates to polling place accessibility. Each county will also form a local advisory council composed in part of voters with disabilities and elderly voters. The GPCPD will supply suggested members for the local advisory councils upon request. This council will advise the local officials on polling place accessibility and site selection. The survey and the establishment of the local council will be a required criteria for counties applying for reimbursement for voting systems.

Information will be provided by the Indiana Election Division to local election officials with suggestions about making their written materials and websites more accessible to voters with disabilities. The information will be created and organized by the GPCPD. Additional outreach will be directed toward military and overseas voters.

Currently, neither the state nor local officials have a maintenance of effort requirement for polling place accessibility or for the updating of materials and websites into accessible formats.

HAVA requires that voting systems provide alternative language accessibility as described in the Voting Rights Act of 1965. Using data from the 2000 United States Census, Indiana's population of non-English speaking residents does not meet the level that requires provision of voting information and materials in other languages. However, as the population of non-English speaking Hoosiers continues to increase, Indiana is taking steps to offer materials related to direct voter communication in the languages that are most prevalent. Currently, the Voter's Bill of Rights and the Application for Voter Registration Form are available in Spanish. As federal funds are available, the Indiana Election Division will translate more documents.

## **G. Administration**

The proposed budget sets aside \$500,000 for the administration of HAVA requirements.

## **Section 7**

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(7) How the State, in using the requirements payment, will maintain the expenditures of the State for activities funded by the payment at a level that is not less than the level of such expenditures maintained by the State for the fiscal year ending prior to November 2000.**

The Secretary of state will not use the requirements money to maintain the level of expenditures previously incurred by the state for election administration. For fiscal year ending June 30, 2000, the State of Indiana was not expending funds for any tasks required under Title III, including provisional ballots, documentation for first-time mail-in registrants or DREs for the disabled, except as provided below.

In 2000, counties were spending local monies on voter registration maintenance and voting system equipment.

The current duplicate voter registration elimination program will no longer be utilized after 2005 under Public Law 209-2003. However, approximately \$225,000 was expended to conduct this program in 1999 - 2000 to compile a statewide voter registration system will require a maintenance of effort.

## Section 8

**Sec. 254 (a) IN GENERAL – The State plan shall contain a description of each of the following:**

**(8) How the State will adopt performance goals and measures that will be used by the State to determine its success of units of local government in the state in carrying out the plan, including timetables for meeting each of the elements of the plan, descriptions of the criteria the state will use to measure performance and the process used to develop such criteria, and a description of which official is to be held responsible for ensuring that each performance goal is met**

### **Performance Goal 1: Statewide Voter Registration System.**

For compliance with HAVA and in order to receive and use requirements money, Indiana will build a “state of the art” system that will be centrally administered. It will provide the best election tools to the state’s local election and registration officials and will incorporate the best features from Indiana county voter registration systems. This system will expand the current relationship between the county and state on operating the duplicate elimination program for list maintenance. It will be necessary to have an interface allowing the system to receive updates from the Bureau of Motor Vehicles, Department of Correction and the Indiana State Department of Health. A steering committee and an independent consultant will be used to guide the process.

Performance measure 1	Number of counties online Number of voters per county Percent of data conversion to standard format completed automatically Number of digitized signatures captured Number of voting histories captured Number of ID numbers captured Number of ID numbers matched Number of hits concerning provisional ballot status Number of hits for polling place locator
Timetable	Now through January 1, 2006
Description of the criteria used to measure performance	Conversion of county registration records is vital to the base file. Reports should be generated from partner agencies to help evaluate the success of file maintenance.
Process used to develop criteria	Success of the system will be dependent upon the capture, migration and standardization of voter registration information into the central voter registration database.
Description of official to be held responsible for ensuring each performance goal is met	The Secretary of State and the Indiana Election Division are responsible for implementing the statewide voter registration system. The Indiana Election Division is also responsible for training, support and ongoing maintenance of the system. The Indiana Election Division will coordinate with all 92 county voter registration officials, BMV, DOC and Indiana State Dent. of Health.

## Performance Goal 2: Training and Education

All Indiana state and local election and voter registration officials realize the success of HAVA implementation relies heavily on communication among or between the participants in the process. Opportunities for training are present at all levels from year round election officials to poll workers and voters that may only interact with the process one or two times a year.

Performance Goal 2.a	<p>The following information will be collected to measure election official training performance:</p> <ul style="list-style-type: none"> <li>Number of people trained in county election office</li> <li>Total number of employees in county election office</li> <li>Number of people trained in voter registration office</li> <li>Total number of employees in voter registration office</li> <li>Number of people in each county certified for the first time</li> <li>Number of people in each county re-certified</li> <li>Number of training classes/opportunities offered</li> </ul>
Timetable	December 31, 2003 and annually thereafter with possible exception in off election year (no municipal or federal election)
Description of criteria used to measure performance	The Secretary of State will prepare and submit a local election and voter registration official training report containing HAVA related information to be filed on the Secretary of State's web site annually.
Process used to develop the criteria	The state already provides training for county election officials. Further steps will be taken to ensure all employees of agencies responsible for "full service" voter registration duties are trained on changes related to HAVA. In addition, election/voter registration certification program will be developed.
Description of official to be held responsible for ensuring each performance goal is met.	The Secretary of State through the Indiana Election Division is responsible for election official training.



Performance Goal 2.b	Number of poll worker positions available Number of poll clerks trained by instructors in classroom Number of poll clerks trained by video Number of poll clerks trained on the web Repeat statistics for poll judges and sheriffs Number of high school and college students contacted by county election officials Number of ID documentation information pieces collected Number of provisional ballots cast Number of CLEs earned by attorneys Number of new persons recruited to work polls Number of complaints or grievances filed Whether exit poll questionnaire was executed Percentage of poll workers who attended training
Timetable	January 1, 2004 and every election thereafter
Description of the criteria used to measure performance	Local election officials will submit this information semi-annually to the Indiana Election Division following an election.
Process used to develop criteria	Election officials already conduct some poll worker training. The Indiana Election Division will rely on input from local election officials (and perhaps professional trainers) to develop both the content and evaluation criteria for the program.
Description of official to be held responsible for ensuring each performance goal is met	The Indiana Election Division shall establish training guidelines, tools, CLEs and the certification program. Each county will continue to oversee poll worker training.

Performance Goal 2.c	Number of public display sites for voter education Number of NCAS or PSAs (public service announcements) Number of ads, news releases or news stories Number of web hits on video streaming Number of teachers certified to instruct on voting systems Number of videos or power point slide shows distributed Number of speeches given by county election or voter registration officials Number of high schools and colleges contacted
Timetable	Increase in percentage of voter turnout     January 1, 2004 and every election thereafter
Description of the criteria used to measure performance	Local election officials will submit this information semi-annually to the Indiana Election Division following an election.
Process used to develop criteria	These steps are being taken to ensure voters receive information on HAVA and related election processes
Description of official to be held responsible for ensuring each performance goal is met	The Indiana Election Division is responsible for developing these training tools. Each county will be responsible for implementation and reporting.

**Performance Goal 3: Grievance**

HAVA requires the establishment of a state-based grievance procedure.

Performance Goal 3	The following information will be collected to measure the effectiveness of the grievance process: The number of calls received The number and nature of complaints filed The number of complaints dismissed The number of complaints resolved by the Indiana Election Division The number of complaints resolved by the Indiana Election Commission The number of complaints resolved by an Arbitrator The average time for a complaint to be investigated and resolved
Timetable	January 1, 2004 and every election thereafter.
Description of the criteria used to measure performance	The Secretary of State will review the reports from the Indiana Election Division and the counties.
Process used to develop criteria	The Indiana Election Division will also submit a report containing number of complaints received, number of complaints resolved and time required/ used for resolution.
Description of official to be held responsible for ensuring each performance goal is met	The Indiana Election Division and Indiana Election Commission are responsible for administering the statewide grievance procedure.

#### **Performance Goal 4: Provisional Ballots**

The following shall be measured regarding provisional ballots: uniform processing, verification and status availability. In the beginning, provisional ballot status reporting will be done by each county until the implementation of the statewide voter registration system. The goal is to have uniform procedures in place in each county for processing and verification of provisional ballots.

Performance Goal 4	Number of provisional ballots cast in each precinct Number of voters in each precinct Number of provisional ballots verified and counted for each precinct Number of provisional ballots not counted in each precinct and reason Number of voters who checked their provisional ballot status Whether uniform procedures were followed
Timetable	January 1, 2004 and every election report thereafter
Description of the criteria used to measure performance	The election report from each county (until implementation of state wide voter file) will provide the Secretary of State with an indication of what additional tools may be needed for uniformity. The statewide voter registration system will be used to report and track the above figures upon its release.
Process used to develop criteria	The Indiana Election Division will enhance the county election administration manual regarding provisional ballot procedures and information
Description of official to be held responsible for ensuring each performance goal is met	The Indiana Election Division, through its election official training and county administrative manual, will be responsible for uniform guidelines for processing and verifying provisional ballots. County election officials will be responsible for provisional ballot verification, counting and reporting. Status of provisional ballots will become a joint effort between the state and county once the statewide voter registration system is online.

## Performance Goal 5: Accessibility

One of HAVA's greatest initiatives is to make the election process more accessible. Indiana will address voting equipment first, with a tie to polling place accessibility. Indiana would also like to utilize part of the remaining HAVA monies to address accessibility issues through training and provide materials and web information in accessible formats.

Performance Goal 5	Number of military/overseas absentee applications Number of military/overseas ballots cast Number of military ballots rejected and reason(s) therefore Number of polling places Number of polling places accessible Number of DREs w/accessible devices Number of DREs w/out accessible devices Number of IED accessibility brochures distributed Number of accessibility complaints received and resolved Whether Indiana Election Division website and materials are available in accessible formats Whether county met with local advisory council
Timetable	May 2004 for DRE January 1, 2004 and beyond for other
Description of the criteria used to measure performance	Local election officials will submit this information semi-annually to the Indiana Election Division following an election.
Process used to develop criteria	The application for reimbursement of voting systems monies will include certification of polling place accessibility.
Description of official to be held responsible for ensuring each performance goal is met	The county will be responsible for certifying polling place accessibility on the application for reimbursement to the Indiana Election Division. The Indiana Election Division will make sure the website is in an accessible format. Governor's Planning Council for People with Disabilities will help coordinate statewide polling place survey.

## Section 9

*Sec. 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:*

**(9) A description of the uniform, nondiscriminatory State-based administrative complaint procedures in effect under section 402.**

Under HAVA, an individual who believes there is (or has been) a violation of any provision of Title III may file a complaint. Such complaint may include, but is not necessarily limited to the following: (1) voting system accessibility, (2) polling place accessibility, (3) any part of the voting process, (4) registration process, or (5) allegation of fraud. The Secretary of State and local election officials will establish a free access system to begin the grievance process.

Indiana Code 3-6-4.5 establishes the state based administrative complaint procedures to remedy grievances concerning uniform and nondiscriminatory election technology and administrative requirements under Title III. The procedures must be uniform and nondiscriminatory.

An individual who believes there is a violation of any provision of Title III, including a violation that has occurred, is occurring, or is about to occur, may file a complaint with the Indiana Election Division. The complaint must be written, signed, and notarized. The complaint must state the following: (1) name and mailing address of the individual alleged to be committing the violation of Title III described in the complaint, (2) whether the individual filing the complaint has filed a complaint concerning the violation with a county election board, and (3) the nature of the injury suffered (or about to be suffered) by the individual filing the complaint. The complaint form and instructions will be available on the Secretary of State's web site [www.sos.IN.gov](http://www.sos.IN.gov).

An individual may also file a complaint with the county election board where the violation allegedly occurred. The Indiana Election Division shall not begin enforcement procedures regarding the complaint until the individual files a complaint with the Indiana Election Division. If the complaint alleges that either Co-Director of the Indiana Election Division has committed the violation, the aggrieved person shall file the complaint with the chair of the Indiana Election Commission. The chair shall perform the duties otherwise performed by the Indiana Election Division concerning a complaint. The Indiana Election Division (or commission) may consolidate complaints filed under this chapter.

The Indiana Election Division shall determine whether a complaint filed under this chapter describes a violation of Title III using the assumption that the facts set forth in the complaint true. If the Indiana Election Division determines that there is no violation of Title III or the individual did not comply with the written requirements stated above, the Indiana Election Division shall dismiss the complaint and publish the order dismissing the matter in the *Indiana Register*. If the complaint is dismissed, a copy shall be provided to the following: (1) the individual who filed the notice; (2) the individual

alleged to have committed the violation; (3) the members of the Indiana Election Commission, and (4) the Indiana Attorney General.

If the Indiana Election Division determines that the complaint alleges a violation of Title III using the assumption that facts alleged in the complaint are true and that the individual complied with the written requirements, the Indiana Election Division shall conduct an investigation. Upon completion of the investigation, the Indiana Election Division shall submit the results to the Indiana Election Commission which shall then issue a written report. A copy of the report shall be provided to the following: (1) the individual who filed the complaint, (2) the individual alleged to have committed the violation; (3) the members of the Indiana Election Commission; and (4) the Indiana Attorney General. The report must indicate the date that the complaint was received by the Indiana Election Division, recite the findings of facts, and state whether a violation of Title III has occurred or is likely to occur. If a violation has occurred the report must also indicate steps taken to correct the violation or prevent a reoccurrence of the violation, any measures that could be taken to correct a violation, the date when a violation was corrected or is expected to be corrected and any additional information or recommendations useful in resolving the complaint.

At the request of the individual filing a complaint or the request of a member of the commission, the commission shall conduct a hearing on the complaint and prepare a record of the hearing. A request for a hearing must be filed with the Indiana Election Division not later than noon seven days after the report is mailed by the Indiana Election Division. After concluding the hearing, the Indiana Election Commission shall do the following: (1) affirm the report; (2) amend the report; or (3) refer the matter to the Indiana Election Division for further investigation and submission of a subsequent report to the Indiana Election Commission. If the Indiana Election Commission finds that there is no violation, the commission shall dismiss the complaint and publish the order of dismissal in the *Indiana Register*. If the Indiana Election Commission determines that there is a violation of any provision of Title III, the Indiana Election Commission shall determine and provide the appropriate remedy if authorized by law to do so.

The Indiana Election Commission shall forward a written summary of any action taken by the commission by certified mail to the following: (1) the individual who filed the notice; (2) the individual alleged to have committed the violation; (3) the members of the Indiana Election Commission, and (4) the Indiana Attorney General.

The Indiana Election Commission shall make the final determination regarding the complaint not later than ninety days after the date the complaint is filed. If the Indiana Election Commission fails to make a final determination (or the Indiana Election Commission ties 2-2) within ninety days, the complaint shall be resolved by referral to an arbitrator selected jointly by the commission and the individual who filed the complaint. The record and other materials from any proceeding conducted by the Indiana Election Commission shall be made available for use by the arbitrator. The arbitrator shall file a report with the Indiana Election Division setting forth the resolution of the complaint.

The procedures set forth in Indiana Code 3-6-4.5 are subject to the Indiana Administrative Rules and Procedures Act (IC 4-21.5), which permits judicial review of determinations under the grievance procedure.

Indiana Code 3-6-5.1 establishes a county based administrative complaint procedure to supplement the state based administrative complaint procedure. An individual who files a complaint with the county retains the right to file a complaint with the Indiana Election Division. If the county election board is notified at any time that a complaint has been filed with the Indiana Election Division regarding this matter, the county election board shall dismiss the proceeding.



## Section 10

*Sec. 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:*

**(10) If the State received any payment under Title I, a description of how such payment will affect the activities proposed to be carried out under the plan, including the amount of funds available for such activities.**

Section 101 money will be used, in at least part, to do all of the following:

- Reimburse counties for voting equipment (Process explained in section 6)
- Create a strategic reserve (\$2 million)
- Statewide voter registration system (to hire consultant)
- Begin to administer HAVA (\$500,000)
- Train and educate poll workers and election officials (\$3.9 million)  
Emphasis will be placed on HAVA requirements training for election and registration officials. Training will also encompass improving poll worker skills related to accessibility, use of provisional ballots and collection of documentation for first-time mail-in registrants.

Educational opportunities will also be geared toward our full service voter registration sites under National Voter Registration Act. These employees are ambassadors of the election process. In Indiana, applications for registration from the Bureau of Motor Vehicles account for a significant number of overall new registrants or address or name changes in the process. Since they constitute the first line of communication with a voter, we must improve their knowledge of the electoral process.

Voter education will be targeted to increase a voter's knowledge and comfort level with the election process. The content of the Voter's Bill of Rights, voting equipment instruction, and military and overseas voting instructions will be communicated in a statewide effort.

A partnership with the Governor's Planning Council for People with Disabilities will produce a statewide coordinated effort at reviewing polling place accessibility. Local voters with disabilities will be trained to enhance the work done by local election officials. Instruction will be made available for all county poll location selectors (in most cases, county commissioners).

## Section 11

*Sec. 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:*

- (11) How the State will conduct ongoing management of the plan, except that the State may not make any material change in the administration of the plan unless the change –**
  - (a) Is developed and published in the Federal Register in accordance with section 255 in the same manner as the State plan;**
  - (b) Is subject to public notice and comment in accordance with section 256 in the same manner as the State plan; and**
  - (c) Takes effect only after the expiration of the 30-day period which begins on the date the change is published in the federal Register in accordance with subparagraph (A)**

The Secretary of State through the Indiana Election Division will conduct annual training sessions with county circuit court clerks, election boards and voter registration officials to review standards and procedures and to assess the goals and objectives of the HAVA state plan.

If the Secretary of State determines the State Plan requires material change, the Secretary of State shall do the following:

1. propose changes to the Vote Indiana Team,
2. allow for public comment for a period of time not less than 30 days and
3. publish the changes in the ***Federal Register*** upon submitting the revised plan to the Election Assistance Commission.

## Section 12

*Sec. 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:*

**(12) In the case of a State with a State Plan in effect under this subtitle during the previous fiscal year, a description of how the plan reflects changes from the State Plan for the previous fiscal year and of how the State succeeded in carrying out the State Plan for such previous fiscal year.**

This version of the State Plan is the initial State Plan required under the Help America Vote Act of 2002. This section will be updated in the next fiscal year, reflecting changes to the State Plan as well as a summary of the 2003 successes.

## **Section 13**

*Sec. 254 (a) IN GENERAL – The state plan shall contain a description of each of the following:*

- (13) A description of the committee which participated in the development of the State plan in accordance with section 255 and the procedures followed by the committee under such section and section 256.**

### **Process**

The Vote Indiana Team is comprised of 28 diverse Hoosiers who are all stakeholders in the election process and who bring ethnic, geographic and tri-partisan political diversity to the planning process.

Tasks were assigned to one of our five subgroups: Accessibility, Election Administration, Statewide Voter File, Training and Education, and Voting Equipment. Members serve on two subgroups. Subgroup assignments are based on first choice of the member and the second assignment is at the chair's discretion to ensure balanced discussions. Members met over a 4 month period including 24 subgroup meetings which each lasted 1.5 hours and 4 full team meetings. Procedures on setting meeting agendas and handling deadlock were established at their first meeting. Testimony and public comment were specifically sought at each meeting. Meeting notes were kept of each meeting and made immediately available on the Indiana Secretary of State's website: [www.sos.IN.gov](http://www.sos.IN.gov). Materials were also available to the public by mail and electronic distribution. On the Secretary of State's website, a listserv permits any individual with access to the internet to register as a member of the listserv and register any comments regarding the plan.

Letters were sent to each of the Clerks of Circuit Court and to county voter registration officials as local stakeholders advising them of the work of the Team and process involved for developing the state plan. In April, a draft state plan was developed in accordance with discussions from the subgroups, current legislation and ideas where gaps existed. The draft plan was distributed to the full Team for review at their April 11, 2003 meeting. Areas of concern were returned to the respective subgroup in order to reach a consensus. The subgroup's decisions were incorporated into the second draft that was also reviewed by the full Team prior to release for public comment.

### **Composition of the Vote Indiana Team**

**Todd Rokita**, Chair  
Indiana Secretary of State

**Christa Adkins**  
Indiana Libertarian Party representative

**Tami Barreto**

League of Women Voters

**Sen. Billie Breaux**

Indiana Senate

**Amos Brown**

African-American community and media representative

**Dick Dodge**

Steuben County Commissioner and Association of Indiana Counties representative

**Pam Finlayson**

Allen County Election Administrator

**Linda Grass**

Hancock County Clerk

**Dee Ann Hart**

Disability community representative

**Laura Herzog**

Indiana Voter Registration Association

**Suellen Jackson-Boner**

Governor's Planning Council for People with Disabilities

**Gen. Michael Kiefer**

Military representative

**J. Bradley King**

Co-director, Indiana Election Division

**Jon Laramore**

Office of the Governor

**Sally LaSota**

Lake County Election Board Administrator

**Rep. Ed Mahern**

Indiana House of Representatives

**Zach Main**

Indiana Republican Party representative

**Regina Moore**

Indiana Voter Registration Association

**Martha Padish**

Vermillion County Clerk

**Nick Rhoad**

Disability community representative

**Rep. Kathy Richardson**

Indiana House of Representatives

**Kristi Robertson**

Co-director, Indiana Election Division

**Col. Joe Ryan (Ret.)**

Military representative

**Doris Anne Sadler**

Marion County Clerk

**Sen. Becky Skillman**

Indiana Senate

**Joe Slash**

Indianapolis Urban League

**Patricia Wilson**

Hispanic community representative

**Robin Winston**

Indiana Democratic Party representative

Facilitators: Sarah M. Taylor, former Marion County Clerk; Holly M. Davis; Anita L. Kolkmeier, General Counsel, Indiana Secretary of State's Office

**Public Comment Period and Procedure**

Copies of the Preliminary State Plan are available at the Secretary of State's Office, the Indiana Election Division's office and via the website at [www.sos.IN.gov](http://www.sos.IN.gov). In addition, the Preliminary State Plan is being specifically distributed to other interested parties during the public comment period of June 3, 2003 through July 3, 2003. The Vote Indiana Team members' diverse backgrounds directly and indirectly provided the accumulation of the following list.

- Area Agencies on Aging (Family and Social Services Agency)
- Association of Indiana Counties newsletter *Indiana News 92*
- Clerks of Circuit Court, county voter registration officials and county election board members
- County commissioners, county council members, and county auditors
- Freedom's Answer

- Governor's Planning Council for People with Disabilities *On Target* newsletter
- Interested parties associated with the Governor's Planning Council for People with Disabilities
- Indiana Association of Cities and Towns
- Indiana Black Legislative Caucus
- Indiana Broadcasters Association
- Indiana Congressional Delegation
- League of Women Voters
- NAACP chapter presidents in Indiana including the State NAACP president
- National Association of Latino Elected and Appointed Officials
- News Releases to Indiana media
- Partners in Policy Making Academy coordinated by the Governor's Planning Council for People with Disabilities
- Urban League chapter presidents in Indiana
- Youth Vote Coalition

Comments may be sent to the chair of the Vote Indiana Team in writing to the following address: Todd Rokita, Indiana Secretary of State, 200 West Washington Street, Room 201, Indianapolis, Indiana 46204, or may be emailed to [VoteIndianaTeam@sos.state.in.us](mailto:VoteIndianaTeam@sos.state.in.us). Public comment on the preliminary state plan may also be left at 317-234-VOTE or by contacting the Indiana Election Division toll free in Indiana at 800-622-4941(TDD). Comments may also be faxed to 317-233-3283.

All comments will be distributed to all team members upon receipt. Following the public comment period, the final version of the Plan filed with General Services Administration will also be published in the *Indiana Register*.

## **Items for Future Consideration**

The Team put forth some additional ideas for future consideration following their charge for developing a blueprint for elections over the next five years.

(1) Department of Defense – “2<sup>nd</sup> generation voting”

This would require an amendment to the Constitution of Indiana to permit the children of overseas voters from Indiana, but who have never resided in Indiana themselves, to be eligible to register to vote upon meeting the requirements other than 30 days residence in Indiana.

(2) I.C. 3-11-8-3 flexibility of polling place relocation in rural counties.

(3) I.C. 3-6-6-13 majority vote of county election board.



## Appendix 1

### INDIANA ELECTION REFORM HISTORY

The development and issuance of Indiana's plan to implement the Help America Vote Act of 2002 marks a significant moment in our state's history of election administration.

While the formation of the State Plan required the members of the Vote Indiana Team to look ahead to determine the wisest way to use our state's resources to bring about election reform in the years ahead, it also provides an opportunity to look back at Indiana's past efforts to ensure fair, honest, and accurate elections.

This look at our past tells us that many issues addressed in the HAVA Plan have been the focus of efforts to improve elections in Indiana since the earliest days of our state. In fact, the first elections were held in what is now Indiana in December 1798, well before statehood.

The voters in the Northwest Territory created by the Continental Congress in 1787, only won the right to elect legislative representatives after a dozen years of effort, and not without obstacles and opposition. When the election was finally permitted, the franchise was limited to free males who were at least 21 years of age. The polling places were literally few and far between in the vast expanse of the territory. Voters in what is now Indiana could choose between traveling by river or wilderness trail to Vincennes, Detroit, and a couple of locations near Cincinnati to cast their ballot. Voting was *viva voce* ('by voice'), meaning that the voter recited the names of the candidates he wished to vote for before an election board, which wrote them down.

When Indiana attained statehood in 1816, election reform was a topic at the first Constitutional Convention. In a compromise, *viva voce* voting was eliminated, but the General Assembly retained the option to return to that method if it chose to do so. Instead, the emerging political parties began to provide the voters with "tickets" that listed their candidates. These tickets varied in size and color, so the ballot was still not secret.

When Indiana adopted its present Constitution in 1852, many of the current features of our election system began to take shape. Voting was now organized by counties within precincts. However, in almost all cases, the "precinct" consisted of an entire township, and there was no voter registration system. Elections were held on uniform dates (the second Tuesday in October for general elections, other than the November presidential elections), but there was no opportunity to cast an absentee ballot. A circuit court clerk was elected with responsibilities for county election administration.

During the Civil War era and the remainder of the 19<sup>th</sup> Century, the increasing growth of cities and other changes in society brought attention to the inadequacies in Indiana's election system. The absentee ballot process began as an opportunity to permit military

voters to fully participate in elections, without being called away from their post of duty. The growing presence of a non-English speaking group of immigrants in Indiana led to the official publication of laws and other documents in their native language to educate the new German-speaking Hoosiers about the voting process.

Multiple voting by “repeaters” led to the adoption of a constitutional amendment requiring voter registration in Indiana in 1881. The franchise was expanded following the Civil War to include all adult males, regardless of race or color, repealing a ban on voting by African-Americans that had been enacted in Indiana in 1852.

However, the catalyst for sweeping election reform in Indiana was the controversial and disputed presidential election of 1888. Following charges of widespread election fraud in Indiana, Governor Isaac Gray initiated a bipartisan effort to restore public confidence in the integrity of the election process.

In 1889, the Indiana General Assembly enacted sweeping election reform legislation that became a model for other states. Indiana was the second state in the nation to require that voters be provided with government-issued, standardized secret ballots to replace the political party tickets. To safeguard the election process, bipartisan representation was required on all election boards, from the precinct level, to the county level, and in the newly created State Board of Election Commissioners. Counties were required to divide larger townships into multiple precincts to provide more accessibility to voters.

During the early years of the 20<sup>th</sup> Century, Indiana continued to refine and expand its election reform efforts. The franchise was expanded by constitutional amendment throughout the nation to include adult women. However, Indiana continued to be noted for its willingness to try innovative methods to improve the election process. Indiana embraced the new technology of lever machines after President McKinley signed a law in 1899 permitting their use in federal elections. From 1913 until 1917, Indiana was (and so far remains) the only state to provide an “instant runoff” or preferential voting procedure in general elections.

Despite this tradition of innovative reform, Indiana’s election laws failed to keep pace with the sweeping changes of the 1930’s and early 1940’s. However, in 1945, at the urging of Attorney General Edward Stiers, the Indiana General Assembly recognized the need to ensure the consistent and fair application of election statutes throughout the state, and adopted a comprehensive recodification of state election laws.

During the post-World War II period, Indiana election procedures continued to adapt to changes coming from the national level, including the expansion of the franchise to 18-year-old citizens and the elimination of lengthy residence requirements for voter registration. Likewise, Indiana continued to embrace new technology for voting, such as the punch card voting systems introduced in the 1970’s. However, Indiana’s very decentralized election administration system led to both a new national controversy and the incentive for renewed election reform.

Following the disputed 8<sup>th</sup> Congressional District election in 1984, national attention was focused on the lack of a uniform process for conducting multi-county recounts in Indiana.

Under the law of that time, each county conducted its own recount for the congressional election. After congressional hearings documented that the counties within the 8<sup>th</sup> District lacked consistent standards for counting votes, the Indiana General Assembly acted.

In 1986, the legislature began by enacting a new codification of Indiana election laws to better organize these statutes after forty years of amendments, and to repeal obsolete procedures. The State Recount Commission was created, and after conducting its first congressional recount in December 1986, recommended the adoption of legislation that established detailed and precise standards for counting punch cards and other types of ballots.

In 1987, the General Assembly continued its election reform efforts by enacting the recommendations of the Recount Commission as a part of one of the most comprehensive revisions in Indiana election law (Senate Enrolled Act 587). This 530-section statute authorized and expanded the use of new technologies such as direct recording electronic voting systems and optical scan ballot cards in Indiana elections, along with expanding county flexibility in locating polling places for access by voters with disabilities.

During the next dozen years, Indiana enacted several laws (and in 1998, a state constitutional amendment) to respond to new challenges in election administration. These measures included the protection of the voting rights of military voters and voters who moved during the final 30 days before an election, and the repeal of obsolete constitutional provisions, such as permitting township elections to be held in October. In response to the National Voter Registration Act of 1993, Indiana's voter registration statutes were comprehensively revised in 1995. The Census Data Advisory Committee was created as a permanent, standing body of the legislature to review and recommend changes to Indiana election laws. As a result of this Committee's work, significant election reform legislation was enacted in 1995, 1997, 1999, and 2003.

The 2003 legislative session also witnessed the enactment of Senate Enrolled Act 268, another comprehensive effort at election reform focused on the implementation of the Help America Vote Act in Indiana.

As a result of this rich heritage, Indiana stood in an enviable position to continue election reform in response to the 2000 general election and the enactment of the Help America Vote Act of 2002. It is hoped that the work of the members of the Bipartisan Task Force in 2001, and the Vote Indiana Team in 2003, the hundreds of county election administrators, and thousands of poll workers throughout the state will contribute to the success of the ongoing effort for election reform in Indiana.

*Compiled and written by  
J. Bradley King,  
Co-Director, Indiana Election Division*